



Federal Ministry
for Economic Cooperation
and Development



**THIRD-PARTY COST-SHARING AGREEMENT
BETWEEN THE GOVERNMENT OF GERMANY (THE DONOR) AND
THE UNITED NATIONS VOLUNTEERS (UNV) PROGRAMME**

With reference to the project concept note “UNV support to the UN Secretary-General’s 7-Point Action Plan on Gender-Responsive Peacebuilding”, submitted for information dated 24 October 2014 by the United Nations Volunteers programme (hereinafter referred to as “UNV”) to the Government of Germany, represented by the Federal Ministry of Economic Cooperation and Development, hereinafter referred to as “the Donor”, the Donor agrees to contribute funds to UNV on a cost-sharing basis for the implementation of the project.

Article I. The Contribution

1. (a) The Donor shall, in accordance with the schedule of payments set out below, contribute to UNV the amount of EUR 700,000 for the years 2015 and 2016 (BMZ no. 2014.7986.4). Upon the written request by UNV, the contribution shall be deposited in:

Bank Name: JPMorgan Chase Bank, N.A.
Bank Address: 277 Park Avenue, 23rd Floor, New York 10017, USA
Account Title: UN Volunteers Programme
Account no.: 0141050658
SWIFT code: CHASUS33
ABA routing number: 021000021
Ref.: BMZ-No.: 2014.7986.4

<u>Schedule of payments</u>	<u>Amount</u>
31 March 2015	EUR 350,000
31 March 2016	EUR 350,000

(b) The Donor will inform UNV when the contribution is paid via an e-mail message with remittance information providing the following information: donor’s name, project no. and title, donor reference (if available). This information should also be included in the bank remittance advice when funds are remitted to UNV. UNV will inform the donor about the receipt of the funds.

(c) UNV is prepared to and shall establish separate accounting records to receive and administer the contribution for the implementation of the Project.

2. The value of the payment, if made in a currency other than United States dollars, shall be determined by applying the United Nations operational rate of exchange in effect on the date of payment. Should there be a change in the United Nations operational rate of exchange prior to the full utilization by the UNV of the payment, the value of the balance of funds still held at that time will be adjusted accordingly. If, in such a case, a loss in the value of the balance of funds is recorded, UNV shall inform the Donor with a view to determining whether any further financing could be provided by the Donor. Should such further financing not be available, the assistance to be provided to the Project may be reduced, suspended or terminated by UNV.
3. The above schedule of payments takes into account the requirement that the payments shall be made in advance of the implementation of planned activities. It may be amended during the course of the respective year to be consistent with the progress of Project delivery. UNV shall request the disbursements in advance of the related expenditures on the basis of the progress of the Project. The progress shall be presented in the annual status and financial reports submitted in accordance with Article III.
4. UNV shall receive and administer the payment in accordance with the regulations and rules, policies and procedures of UNDP.
5. All financial accounts and statements shall be expressed in United States dollars.

Article II. Utilization of the Contribution

1. The contribution shall be used exclusively to meet the costs of the Project as described in the Project Document, including administrative and support services as set out in Article IV. The Project Document shall include
 - (a) a proposed project budget, broken down in requirements per annum as well as the related financing plan which sets out how the required funding for the implementation of the Project shall be secured;
 - (b) a narrative proposal that contains all information relevant for Project appraisal (e.g. objectives, expected outcomes and outputs, including baselines and indicators; target groups).
2. The implementation of the responsibilities of UNV pursuant to this Agreement and the Project Document shall be dependent on receipt by UNV of the contribution in accordance with the schedule of payment as set out in Article I, paragraph 1, above. UNV shall not start the implementation of the activities prior to receiving the Contribution or the first tranche of the Contribution (whichever is applicable). UNV shall maintain separate accounting records for the Project.
3. If unforeseen increases in expenditures or commitments are expected or realized (whether owing to inflationary factors, fluctuation in exchange rates or unforeseen contingencies), UNV shall submit to the Donor on a timely basis a supplementary estimate showing the further financing that will be necessary. The Donor shall use its best endeavours to obtain the additional funds required.
4. If the payments referred to in Article I, paragraph 1, above are not received in accordance with Article I, or if the additional financing required in accordance with paragraph 3 above is not forthcoming from the Donor or other sources, the assistance to be provided to the Project under this Agreement may be reduced, suspended or terminated by UNV.

5. Any interest revenue attributable to the contribution shall be credited to the UNV Account. It shall be retained by UNV and shall be utilized in accordance with established UNDP procedures.

Article III. Administration and reporting

1. Project management and expenditures shall be governed by the regulations and rules, policies and procedures of UNDP and, where applicable, the regulations, rules and directives of UNV and implementing partner.
2. UNV shall provide to the Donor reports in accordance with UNDP accounting and reporting procedures.
 - (a) From UNV an annual status report of Project progress for the duration of the Agreement, as well as the latest available approved budget and financial implementation status.
 - (b) From UNDP Bureau of Management/Office of Finance and Administration, an annual certified financial statement as of 31 December every year to be submitted no later than 30 June of the following year.
 - (c) From UNV within six months after the date of completion or termination of the Agreement, a final report summarizing Project activities and impact of activities as well as provisional financial data.
 - (d) From UNDP Bureau of Management/Office of Finance and Administration, on completion of the Project, a certified financial statement to be submitted no later than 30 June of the year following the financial closing of the Project.
3. If special circumstances so warrant, UNV may provide more frequent reporting at the expense of the Donor. The specific nature and frequency of this reporting shall be specified in an annex of the Agreement.

Article IV. Administrative and support services

1. In accordance with the decisions and directives of UNDP's Executive Board reflected in its Policy on Cost Recovery from Other Resources, the Contribution shall be subject to cost recovery for indirect costs incurred by UNV headquarters and country office structures in providing General Management Support (GMS) services. To cover these GMS costs, the contribution shall be charged a fee equal to 8%. Furthermore, all direct costs of implementation, including the costs of implementing partner, will be identified in the project budget against a relevant budget line and borne by the Project accordingly.
2. The aggregate of the amounts budgeted for the Project, together with the estimated costs of reimbursement of related support services, shall not exceed the total resources available to the Project under this Agreement as well as funds which may be available to the Project for Project costs and for support costs under other sources of financing.

Article V. Evaluation

All UNV programmes and projects are evaluated in accordance with UNDP Evaluation Policy. UNV and the Governments where activities will be implemented, in consultation with all relevant stakeholders, including the Donor, will jointly agree on the purpose, use, timing, financing mechanisms and terms of reference for evaluating a project including an evaluation of its contribution to an outcome which is listed in the Evaluation Plan. UNV shall commission the evaluation, and the evaluation exercise shall be carried out by external independent evaluators. The Donor shall have the right to request or initiate an interim or final evaluation of the Project, in consultation with UNV and to be carried out in accordance with UNDP Evaluation Policy.

Article VI. Equipment

Ownership of equipment, supplies and other properties financed from the contribution shall vest in UNV. Matters relating to the transfer of ownership by UNV shall be determined in accordance with the relevant policies and procedures of UNDP.

Article VII. Auditing

The contribution shall be subject exclusively to the internal and external auditing procedures provided for in the financial regulations, rules, policies and procedures of UNDP. Should the annual Audit Report of the Board of Auditors of UNDP to its governing body contain observations relevant to the contributions, such information shall be made available to the Donor.

Article VIII. Anti-corruption

The Parties agree that it is important to take all necessary precautions to avoid corrupt practices. To this end, UNV shall maintain standards of conduct that govern the performance of its staff, including the prohibition of corrupt practices in connection with the award and administration of contracts, grants, or other benefits, as set forth in the Staff Regulations and Rules of the United Nations, the UNDP Financial Regulations and Rules, and the UNDP Procurement Manual.

Article IX. Dispute settlement

The Parties shall use their best efforts to settle amicably any dispute, controversy or claim arising out of this Agreement or the breach, termination or invalidity thereof.

Article X. Completion of the Agreement

1. UNV shall notify the Donor when all activities relating to the Project have been completed.

2. Notwithstanding the completion of the Project, UNV shall continue to hold unutilized payments until all commitments and liabilities incurred in the execution/implementation of the Project have been satisfied and Project activities brought to an orderly conclusion.
3. If the unutilized payments prove insufficient to meet such commitments and liabilities, UNV shall notify the Donor and consult with the Donor on the manner in which such commitments and liabilities may be satisfied.
4. Any payments that remain unexpended after such commitments and liabilities have been satisfied shall be refunded to the Donor.

Article XI. Termination of the Agreement

1. After consultations have taken place between the Donor and UNV, and provided that the payments already received are, together with other funds available to the Project, sufficient to meet all commitments and liabilities incurred in the execution/implementation of the Project, this Agreement may be terminated by UNV or by the Donor. The Agreement shall cease to be in force 30 (thirty) days after either of the Parties have given notice in writing to the other Party of its decision to terminate the Agreement.
2. Notwithstanding termination of all or part of this Agreement, UNV shall continue to hold unutilized payments until all commitments and liabilities incurred in the execution/implementation of all or the part of the Project, for which this Agreement has been terminated, have been satisfied and Project activities brought to an orderly conclusion.
3. Any payments that remain unexpended after such commitments and liabilities have been satisfied shall be refunded to the Donor.

Article XII. Notice

Any notice or correspondence between UNV and the Donor will be addressed as follows:

- (a) To the Donor:
Address: Federal Ministry of Economic Cooperation and Development (BMZ)
Dahlmannstr. 4
53113 Bonn, Germany
- (b) Upon receipt of funds, UNV shall send an electronic receipt to the Donor email address provided below as confirmation that the remitted funds have been received by UNV.

Donor email address: RL404@bmz.bund.de
- Attention: Simon Koppers, Head, UN Division, BMZ
- (c) To UNV: Kazumi Ikeda-Larhed, Chief, Partnerships and Communication Division
kazumi.ikeda-larhed@unv.org

Address: United Nations Volunteers (UNV)
Platz der Vereinten Nationen 1
53113 Bonn, Germany

Article XIII. Amendment of the Agreement

The Agreement may be amended or modified through a formal written Amendment signed by the Donor and UNV. The Amendment shall become an integral part of the Agreement.

Article XIV. Entry Into Force

This Agreement shall enter into force upon signature of this Agreement by the parties hereto, on the date of the last signature.

The undersigned, being duly authorized thereto, have signed the present Agreement in the English language in two copies.



For the Donor:
Simon Koppers
BMZ, Head, UN Division
Bonn,

18. 12. 2014



For the United Nations Volunteers:
Richard Dictus
UNV Executive Coordinator
Bonn, 17 December 2014



Concept Note

UNV support to the UN Secretary-General's 7-Point Action Plan on Gender-Responsive Peacebuilding

October 2014

Summary data

Project Title	UNV support to SG's 7-point Action Plan on Gender-Responsive Peacebuilding
Region/Country	Global
Priority Area	Peacebuilding
Theme	UNV support to five peacebuilding priority countries under the Gender Promotion Initiative within the to the UN Secretary-General (SG)'s 7-Point Action Plan on Gender-Responsive Peacebuilding
Objectives	Strengthen capacity to take forward the Gender Promotion Initiative in priority countries. Deployment of UN Volunteers in these countries will support and complement the UN Resident Coordinators' (RCs') Offices or UN Women Country Offices, amongst others, with the development of gender-responsive interventions within peacebuilding initiatives to enhance the implementation of the 7-point Action Plan.
Target Beneficiaries	Population at large and in particular women as well as selected communities or women's groups who might be exposed to risks of violence and lack of security. The same groups might support women to become agents and/or custodians of peace and social cohesion within their communities.
Implementing Partners	UNV, UN Women, the UN Peacebuilding Support Office (PBSO), UN RCs' Offices
Project Duration	2 years
Resources Required	900,000 Euros

Background

Although there is general understanding that women and men need to be equal partners in the recovery and reconstruction processes within conflict-affected countries, peacebuilding remains largely male dominated. The UN Secretary-General's 2010 report on women's participation in peacebuilding presented a comprehensive 7-Point Action Plan that covers a range of peacebuilding issues where gender-responsiveness is particularly crucial:

1. **Women are fully engaged in**, and timely gender expertise is provided to, **all peace processes**;
2. **Post conflict planning** processes, including donor conferences, involve women substantively and apply methods that result in comprehensive attention to gender equality;
3. **Adequate financing** – targeted and mainstreamed – is provided to address women's specific needs, advance gender equality and promote women's empowerment. A target of 15% was set in the report;
4. **Deployed civilians** possess specialized skills, including expertise in rebuilding state institutions to make them more accessible to women;
5. Women can participate fully in **post-conflict governance**, as civic actors, elected representatives and decision-makers in public institutions, including through temporary special measures such as quotas;
6. **Rule of law initiatives** encourage women's participation in seeking redress for injustices committed against them in improving the capacity of security actors to prevent and respond to violations of women's rights; and
7. **Economic recovery** prioritizes women's engagement in employment-creation schemes, community-development programmes and the delivery of frontline services.



Out of the 7 commitments, UNV is well-positioned to assist in the areas of post-conflict planning, conflict prevention, and civilian capacity. Additionally, UNV's Strategic Framework (2014-2017) emphasizes a commitment to gender equality and women's empowerment, as well as ensuring gender balance of UN Volunteers. In line with the 7-point Action Plan, the new UNV Global Peacebuilding Programme will allocate 15% of total funds to gender-responsive peacebuilding. Furthermore, Output 3.2 of this programme is dedicated to strengthening the capacity of the UN System to implement the Action Plan and deliver other gender-responsive peacebuilding interventions.

In order to accelerate progress towards the achievement of the 15 per cent target, PBSO, in partnership with UN Women, has launched a second round of the Gender Promotion Initiative (GPI2)¹ in September 2014. The first GPI was launched in 2011 and over the years, has resulted in some significant results on gender-responsive peacebuilding – particularly in addressing gender-based violence and enhance capacities of women's groups to meaningfully engage in formal peace processes - in, *inter alia*, Guinea, Nepal and Guatemala. In the new round, proposals from UN Country Teams (UNCTs) in Peacebuilding Fund (PBF) eligible countries² will be assessed on their strategic contribution to gender-responsive peacebuilding as well as considerations such as inter-agency collaboration, and contribution to emerging or under-resourced areas such as climate change, natural resource management, combatting violent extremism and engaging youth.

UNV Support

UNV's proposed support to the GPI consists of the following:

- (1) Deployment of one international UNV Gender and Peacebuilding Specialist in five GPI selected countries. These UN Volunteers will be based in the lead UN GPI agency, which will have a general managerial, coordination and programming role in terms of UNV's involvement in UNCT activities (focusing on establishing and utilizing financial tracking mechanisms and Gender Markers, coordination/liaison with stakeholders, needs assessment, participatory planning, M&E, mobilization of communities, and inclusion of vulnerable groups);
- (2) Recruitment of one national UN Volunteer, to be paired with the international specialist, who will be responsible mainly for facilitating interaction between UN stakeholders and civil society at the community level – such as identifying women's civil society organizations, conducting needs assessments, participatory consultations and training sessions on how to engage in peacebuilding processes, reaching to youth and communities.

The pairing of the international and national UN Volunteers in each country is crucial to generate knowledge-transfer and capacity building to help promote the role of women in localizing peacebuilding processes and strengthening local conflict resolution mechanisms.

Indicative Objectives, Activities, and Indicators

While recognizing the impact of conflict on women and the need to prioritize protection measures, the Gender Promotion Initiative is seeking to support priority projects that empower women and enhance their role as active agents of change (i.e. projects that qualify as Gender Marker 3). Thus, the following objectives have been drawn out on the basis of emphasizing gender equality and women's empowerment. However, due to the timeline of the final approval being set to December 2014, the specific activities and indicators will vary depending on the final selection of projects that will be implemented under the GPI2. Tentatively, the GPI2 aims to achieve these following global objectives:

¹ The Gender Promotion Initiative aims to: implement the commitments of the 7-point action Plan on Women's Participation in peacebuilding; address gender-equality and strengthen women's empowerment within the PBF portfolio; and stimulate UN learning in gender-responsive peacebuilding programming.

² For a list of PBF eligible <http://www.unpbf.org/countries/>. The GPI will not be open to countries that are in their final stages of PBF support; the final list of GPI countries will be available in early September 2014.



1. **Community empowerment and inclusive participation:** Strengthened integration of gender equality and women's empowerment within existing peacebuilding initiatives including, local institutions, civil societies, and local communities.

Indicative Activities:

- 1) Supporting women's participation in peacebuilding processes through brokering access for women's groups in formal and informal peacebuilding processes.
- 2) Establishing gender-sensitive early warning and community-based conflict monitoring systems.

Indicative Indicators:

- 1) Percentage of women's groups participation in peacebuilding processes
- 2) Countries where networks of community conflict monitors have been established

2. **Capacity development:** Capacities to engage in peacebuilding processes to support innovative projects, focused on gender equality and women's empowerment, with potential for catalytic effect and peacebuilding outcomes are strengthened.

Indicative Activities:

- 1) Conducting gender analysis of all processes to produce/complement existing planning frameworks to ensure the identification of women's needs and priorities in formal peacebuilding processes or post-conflict planning (including Post-Conflict Needs Assessment, Peacebuilding Priority Plan, Poverty Reduction Strategy, UN Development Assistance Frameworks and other strategic programmes and their M&E frameworks).
- 2) Undertaking capacity development initiatives of women's groups involved in UN-supported peacebuilding activities.
- 3) Ensuring gender balance in UN-supported participatory and consultative processes.
- 4) Ensuring gender balance in the recruitment of UN Volunteers to have men also involved as community engagers and mobilizers in contexts with cultural and post-conflict sensitivities.

Indicative Indicators:

- 1) Number of frameworks integrating women's needs and priorities in formal peacebuilding processes
- 2) Number of women's groups trained on gender-responsive peacebuilding
- 3) Percentage of UN Volunteers aggregated by gender

3. **Knowledge and innovation:** Increased effectiveness of the UN System to contribute to collective operational learning and awareness-raising of the impact of gender-responsive programming in peacebuilding processes.

Indicative Activities:

- 1) Researching and disseminating the impact of innovative gender-responsive peacebuilding initiatives, including an assessment of the contribution of volunteers within the engaged communities and women's groups.
- 2) Disseminating lessons learned and good practices, and sharing experiences across GPI countries.

Indicative Indicators:

- 1) Number of knowledge products or communications materials developed
- 2) Global learning platform for UN Volunteers and partners established



Budget estimate

Budget Item		Donor	Euros	
5 Gender and Peacebuilding Specialists in GPI countries (International UN Volunteer)	4 International UN Volunteers	BMZ	370,370	
	1 International UN Volunteer	UNV	100,000	
5 National UN Volunteers (to be paired with the International Volunteers)	4 National UN Volunteers	BMZ	74,074	
	1 National UN Volunteer	UNV	20,000	
Organization of trainings, workshops, community consultations		BMZ	138,889	
In-country monitoring travel	4 GPI countries	BMZ	37,037	
	1 GPI country	UNV	10,000	
Studies, research and knowledge generation		UNV	50,000	
Working equipment		BMZ	27,778	
		UNV	20,000	
General Management Support (8%)		BMZ	51,852	
		Subtotal	BMZ	700,000
			UNV	200,000
Total			900,000	